

In the social sciences at The University of Hong Kong we rely in part or impact of our research. We use metrics such as impact factors, citation These measures and other factors have paved the way for the Faculty o

Less certain, however, is the non-academic impact and measurement government officials, businessmen, alumni and potential donors requi the lives of Hong Kongers. We must demonstrate the relevance of our inside and outside the University.

Understanding Non-Academic Impact the subject/material they wor

According to a LSE Public Policy Group study, impact implies 'recorded or auditable occasions of influence', that are, however, not the same as outputs or activities or outcomes, and that do not depend for their existence on some kind of social welfare gain (LSE, 2011: 5). The Hong Kong Research Grants Council's (RGC) support for public policy research is specifically designed to fund research that aims at this kind of impact. Measuring impact, however, is difficult in the humanities and social sciences. As my colleague, Professor Joseph Chan Cho-wai, (Department of Politics and Public Administration) has pointed out, 'Those of us working in the humanities and social sciences influence people chiefly through the medium of ideas, not through technology or practical skills (saving lives in medicine, or building bridges in civil engineering). Ideational impact is not only difficult to measure, but also difficult to occur. Impact depends to a great extent on how ideas are transmitted and whether people at the receiving end are persuaded. This depends on the relative power of non-ideational factors such as interests, power, and access to information, and people's open-mindedness. If our research fails to influence people in society, does it imply that our research has no practical value? Policy research or academic research could add immense practical value to society even if the impact is not clearly visible. Simply stated, the impact of ideas is determined by many factors outside of the control of the researcher. On the contrary, scientists, engineers, and doctors often have direct control over

direct and clear impact' (E-m Davies et al caution: '... mu diffuse and characterized by this is the case, research pi and ide generalizations deliberation...Thus research choices, but also to the fo understandings and possibi professional discourse and

> These cautions are well tal non-academic impact of c First, we can infer indirect records of our colleagues NGO advisory committee impact by directly advisin of lectures, networking joint publications and co also be noted (LSE, 201 of impact through a va

> > Discussions of our re media (including pri specialist media suc public policy journa



n peer review and metrics in order to be certain of the academic n counts, acceptance rates and the prestige of academic presses. Social Sciences to be ranked 23rd in the world by QS.

of social sciences research. Members of the public, politicians, re evidence of our impact on the development of Hong Kong and research since we depend on the good will and support from both

k on, and hence they can create ail, 14 September 2012). And as <mark>uch important decision making is</mark> 'non-decisional processes"...When ovides a background of empirical policy into creep can contribute not just to decisional that ormation of values, the creation of lities, and to the quality of public and debate' (Davies, et al, 2005, 12).

ken, yet we can try to understand the our research through various channels. impact through the participation and in the legislature, government and/or es and think tanks. Academia creates ng decision-makers. Second, the impact , contract work, student placements, onsultancies outside of the University can 1: 7). That is, we can look for evidence riety of means such as (LSE, 2011: 226):

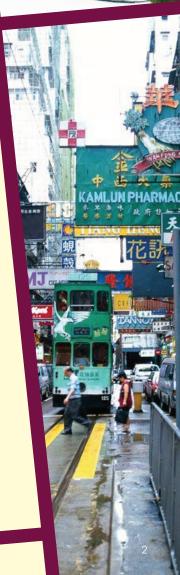
search that appear in the general nt, radio and TV, internet and blogs) and h as the trade press, industry journals, ls and magazines, newsletters of

professionals, think tanks, consultancies, trade unions,

- Debates and proceedings of Legislative Council and its Panels;
- Papers, publications and website coverage of research by the
- Financial data available within the university indicating the scope of our activities outside the university such as research grants, consultancies, contracts, licensing income, payments made for training sessions, conferences and so forth; and
- Participation and/or visits by members of the public, business, government, and civil society to Department/Faculty activities indicating that these external actors found (or anticipated finding) something of value. 'The more senior these personnel are, the greater the imputed external value of what the department or lab is providing...' (LSE, 226). Logging the contacts and providing these participants with opportunities to feed back on their experience would be valuable evidence.

The impact of non-academic research focuses on many areas. Faculties of social sciences largely provide research that relates to public policy. Our Faculty of Social Sciences is no exception.







Tracking Impact on Public Policy

We can identify two general approaches to demonstrate the impact of research on public policy in Hong Kong: forward tracking ('producer-push') and backward tracking ('user-pull') (Davies, et al, 2005, 12). Forward tracking (perhaps augmented with user panels) asserts that a particular piece of research has been examined, taken up, incorporated into, or determined a particular public policy. We may understand the RGC's public policy research grants in this light. Under this scheme the government, through the Central Policy Unit (CPU), identifies some general policy areas of interest to the community, and encourages researchers in Hong Kong to submit proposals to a vetting panel in order to solve these public problems. The extent to which the proposals are likely to have an impact on public policy is one of many considerations for funding. In some cases (e.g., strategic public policy research) the proposals are circulated directly to concerned government agencies as part of the vetting process for determination of relevance. When the projects are completed, summaries of the results are published in a newsletter that is distributed to public bodies, including government. Whether government agencies take up these policy ideas is unclear.

Backward tracking involves examining policy decisions or practices to identify research-based influences. We can understand the government's need for public policy research by examining the contract research undertaken by members of our faculty. Tracking backwards can identify the policies on which the government sought research help from the University. The chain of influence can be identified through interviews with the commissioned government agency and with the researcher.

What We Are doing

Members of the Faculty have been elected to the Legislative Council (Dr Law Chi Kong and Dr Yeung Sum) where they influenced public policy, especially in the provision of social welfare and the furtherance of political reform. Members have also been seconded to the HKSAR Government's Central Policy

Unit (Professor John Baco or have been advisors to o Simon Zhao Xiaobin, Dr Ng Consultancies to the CP evaluating public support Nelson Chow Wing-sun, I Joe Leung Cho-bun, Profes Chan Lai-wan. They have the Chief Executive's Policy cross border relations with members of the Faculty in advisory committees.

Eight colleagues from the policy grants from the Res results. Public policy reco been provided in the last t colleagues from Geograp Kong as logistics hub in Sociology (Professor Lui Tai in Hong Kong, 2009; Profe networks of professional n Chou Kee Lee, integration 2010; Dr Edward Chan k Kong, 2010; Dr Ernest Ch services for the elderly, 20 processes on public v (See http://www.ugc.edu.h for write-ups of the impac Policy Digest).

Finally, the Faculty is a magovernment agencies in According to the partial Section, in 2011/12 members 57 contract research projection (but also for organizatio Taiwan). Of these the Celargest number (9), follows (4). The Faculty's Social Department held most of contract research for the Executive in the Executive of the Executive in the Execu



n-Shone, Dr Peter Cheung Tsan-yin) r part-time members of the CPU (Dr g Cho Nam, Dr Richard Hu Weixing). U identifying policy options and have been provided by Professor Professor Paul Yip Siu-fai, Professor Ssor Lui Tai Lok and Professor Cecilia advised on everything from drafting Address to handling Hong Kong's Guangdong province. In addition, fluence and serve on many different

Mortgage Corporation, the Hong Kong Mandatory Provident Fund, public hospitals in Hong Kong, the Housing Society, the Productivity Council, the Airport Authority, MTRC, the Office of the Telecommunications Authority, and the Leisure and Cultural Services Department. In addition to SWSA, colleagues in Geography, Sociology, and the Social Sciences Research Centre were actively engaged in public policy related contract research, indicative of the Faculty's non-academic impact.

Professor John P Burns Dean

References

Davies, Huw, Sandra Nutley, Isabel Walter (2005), 'Assessing the Impact of Social Science Research: Conceptual, Methodological and Practical Issues' (A background discussion paper for the ESRC Symposium on Assessing Non-Academic Impact of Research, Research Unit for Research Utilization, School of Management, University of St Andrews, May) (online at:

http://www.odi.org.uk/rapid/Events/ESRC/docs/background_paper.pdf, accessed 13 August 2012).

LSE Public Policy Group (2011), 'Maximizing the Impacts of your Research: A Handbook for Social Scientists' (online at: http://www2.lse.ac.uk/government/research/resgroups/LSEPublicPolicy/Docs/LSE_Impact_Handbook_April_2011.pdf, accessed 13 August 2012).

Faculty have won competitive public earch Grants Council with published mmendations for government have hree years, through these grants by hy (Dr James Wang Jixian, Hong n the global value chain, 2009); Lok, life chances and social mobility essor Wong Siu Lun , locating HK in nigrants, 2011); and Social Work (Dr of new immigrants to Hong Kong, Co-ling, domestic violence in Hong ui Wing-tak, integrated home care 11; Dr Law Chi Kong, consultation works projects in HK, 2011). nk/eng/rgc/publication/ppd/ppd.htm ct of their work in the RGC's Public

ijor supplier of contract research to Hong Kong and on the Mainland. data of HKU's Research Services pers of the Faculty were engaged in cts, mostly conducted in Hong Kong ins on the Mainland, Macau, and intral Policy Unit commissioned the ed by the Social Welfare Department I Work and Social Administration these contracts. We also provided Elderly Commission, the Hong Kong



